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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|---|---|---|---|
| 10/815,674 | 04/02/2004 | Hoi-Sing Kwok | 016660-215 |

**CONFIRMATION NO. 9762**

21839
BURNS DOANE SWECKER & MATHIS L L P
POST OFFICE BOX 1404
ALEXANDRIA, VA 22313-1404

**FORMALITIES LETTER**

*OC000000012987493*

Date Mailed: 06/18/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
  *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **$65** for a Small Entity

- **$65** Late oath or declaration Surcharge.

Replies should be mailed to:     Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

65.00 OP

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08/18/2004 JADD01
01 FC:2051

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hoi-Sing Kwok et al.

Application No.: 10/815,674

Filing Date: April 2, 2004

Title: EFFICIENT FLAT LIGHT SOURCE

Group Art Unit: 2875

Examiner:

Confirmation No.: 9762

## TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

**MAIL STOP MISSING PARTS**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

   In complete response to the Notice to File Missing Parts of Application filed Under 37 C.F.R. § 1.53(b) dated June 18, 2004_____, enclosed please find:

☒  a Combined Declaration and Power of Attorney signed by the inventor(s);

☐  Note that the inventor/inventors identified on the concurrently filed Combined Declaration and Power of Attorney is/are different than listed on the application filing papers.

☐  an Application Data Sheet;

☐  the surcharge of   ☐ $65.00 (2051)   ☐ $130.00 (1051)   as set forth in 37 C.F.R. § 1.16(e);

☐  a Request for Refund;

☐  a Petition for Extension of Time;

☐  a verified English translation of the Application, and the $130.00 (1053) fee as set forth in 37 C.F.R. § 1.17(k);

☒  an Assignment document and a separate check for the $40.00 (8021) Assignment recordation fee;

☐  drawings for publication;

☐  IDS;

☐  a certified copy of the priority document; and

☐  other _____

**BURNS DOANE**
BURNS DOANE SWECKER & MATHIS LLP
INTELLECTUAL PROPERTY LAW

TRANSMITTAL LETTER FOR MISSING PARTS
OF APPLICATION

Page 1 of 2
(8/04)

☐ A check in the amount of _____ for the fee due for missing parts is enclosed.

☐ Charge _____ to Deposit Account No. 02-4800 for the fee due for missing parts.

☒ Charge ___$ 65.00___ to credit card. Form PTO-2038 is attached.

☒ Small entity status is hereby claimed.

     The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

<div style="text-align:center">

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

</div>

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By _____

James A. LaBarre
Registration No. 28,632

Date: __August 17, 2004__